

11/29/00  
JG966 U.S. PTO

SOFER & HAROUN, L.L.P.  
PATENT FILING TRANSMITTAL

ATTORNEY DOCKET NO:688-098

A  
11/29/00  
JG966 U.S. PTO  
09/22/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

PATENT FILING TRANSMITTAL

Transmitted herewith for filing is the Patent Application of: **Travis Nichols and Blake Gustafson**

For: **CUTTING DEVICE**

TYPE OF FILING

This new patent application is for a(n):

- ☒ Utility  
☐ Design  
☐ Plant  
☐ Divisional  
☐ Continuation  
☐ Continuation-in-part

Benefit of a prior filed application

- ☐ This application claims the benefit of an earlier filed U.S. Patent Application under 35 USC 120.  
☐ Please accord Applicant the benefit of the priority date of \_\_\_\_\_ to this case pursuant to 35 USC 119. Applicant's claim for priority is based on application \_\_\_\_\_ filed in \_\_\_\_\_ on that date.

Filing under 37 CFR 1.53 (Utility) or 37 CFR 1.153 (Design)

- ☒ This is an application filed pursuant to 37 CFR 1.53 or 37 CFR 1.153, permitting receipt of a filing date upon filing of a specification, at least one claim and necessary drawings.  
☒ In the event any parts of this application are incomplete, please treat this as a filing under 37 CFR 1.53 or 37 CFR 1.153.

ENCLOSURES

Minimum requirements under 37 CFR 1.53(b) (Utility) or 37 CFR 1.153 (Design)

- ☒ 14 -page(s) of specification;  
☒ 2 -page(s) of claims;  
☒ 1 -page of abstract;  
☒ 10 sheets of informal drawings;

- ☒ A Declaration, Power of Attorney & Petition or listing of inventors;  
and  
☒ A postcard for return to us as proof of receipt of the above documents.

plus

- ☐ An Assignment of the invention to \_\_\_ and an Assignment cover sheet;  
☐ Verified Statement Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(b))  
☐ Form PTO-1449 (IDS) and copies of the references listed thereon;  
☐ A certified copy of \_\_\_\_\_(country) patent application number (priority document).  
☐ A preliminary amendment;  
☐ Declaration of Biological Deposit;  
☐ Submission of sequence listing, computer readable copy and/or amendment relating thereto for biotechnology invention containing nucleotide and/or amino acid sequence;  
☐ An associate power of attorney;  
☐ Other.

#### DECLARATION OR OATH

The enclosed Declaration or Oath has been executed by:

- ☐ Inventor(s);  
☐ Legal representative of the inventors (37 CFR 1.42 or 1.43);  
☐ Joint inventor or person showing proprietary interest on behalf of an inventor who refused to sign or who cannot be reached and this is a petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is attached;  
☒ Has not been executed and is enclosed for the purposes of identifying the inventors.

#### INVENTORSHIP STATEMENT

The inventorship for all the claims in this application is:

- ☒ the same;  
☐ not the same and, as an explanation, a statement is/ will be submitted.

#### LANGUAGE

The application submitted herewith is:

- ☒ in English;  
☐ in not in English and in terms of 37 CFR 1.52(d) a verified translation is  
☐ attached  
☐ not attached.

### FEE CALCULATION

The filing fee has been calculated as shown below:

|   |    |       |       | OTHER THAN A |     |              |     |
|---|----|-------|-------|--------------|-----|--------------|-----|
|   |    |       |       | SMALL ENTITY | OR  | SMALL ENTITY |     |
| BASIC FEE Design Patent                     |    |       |       | \$155        |     | \$310        | \$  |
| BASIC FEE Utility Patent                    |    |       |       | \$355        | \$  | \$710        | \$  |
| EXTRA FEES                                  |    |       |       | RATE         | FEE | RATE         | FEE |
| TOTAL CLAIMS                                | 11 | MINUS | 20 =0 | x 9 =        | \$  | x 18 =       | \$  |
| INDEP.CLAIMS                                | 1  | MINUS | 3 =0  | x 78 =       | \$  | x 39 =       | \$  |
| <input type="checkbox"/> MULTIPLE DEP.CLAIM |    |       |       | + 130 =      | \$  | + 260 =      | \$  |
| <input type="checkbox"/> ASSIGNMENT         |    |       |       | + 40 =       | \$  | + 40 =       | \$  |
| <input type="checkbox"/> RULE 53 SURCHARGE  |    |       |       | + 65 =       | \$  | + 130 =      | \$  |
| TOTAL                                       |    |       |       | \$ 355.00    |     | \$ .00       |     |

### FEE PAYMENT

- ☐ Attached is Check No \_\_\_\_\_ in the sum of \$ \_\_\_\_\_ to cover the filing and, if applicable, the assignment fee.
- ☐ Please charge Account No.19-2825 the sum of \$ \_\_\_\_\_.
- ☒ No Fee is enclosed.

### FEE DEFICIENCY

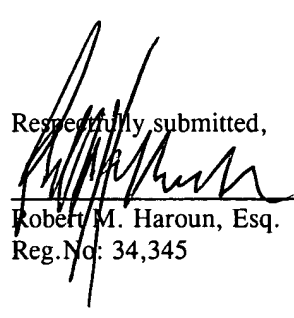
- ☐ The Commissioner is authorized to charge (or credit any overpayment) to deposit account No.19-2825:
- ☐ Any additional filing fees required under 37 CFR 1.16, except Rule 53 filings, which will be paid within the time permitted by PTOL 1533.
- ☐ Assignment Recordal fees.
- ☒ The filing fee and surcharge under 37 CFR 1.16, patent application processing fees under 37 CFR 1.17 and patent issue fees under 37 CFR 1.18 are intended to be paid by our firm as they arise. As no abandonment is intended by any inadvertent nonpayment of fees, the Commissioner is hereby authorized to charge payment of such fees as from time to time come due, if not paid prior to due date to our Deposit Account No.19-2825
- ☐ A duplicate copy of this sheet is enclosed.

Dated: \_\_\_\_\_

SOFER & HAROUN, L.L.P.

Address:342 Madison Avenue, Suite 1921  
New York, New York 10173  
Telephone:(212) 697-2800  
Facsimile:(212) 697-3004

Respectfully submitted,

  
Robert M. Haroun, Esq.  
Reg.No: 34,345

PATENT

Docket No. 688-098

1C886 U.S. PTO  
09/22/364  
11/29/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Nichols et al.  
Serial No. : Not yet assigned  
Filed : November 29, 2000  
For : CUTTING DEVICE

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EL713576776US

Date of Deposit November 29, 2000

I hereby certify that the following attached paper(s) or fee

- 1) Patent Application
- 2) Informal Drawings (Figs. 1-16B)
- 3) Declaration - unexecuted
- 4) Patent Transmittal
- 5) Return Postcard
- 6) Express Mail Certificate

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37

C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks,

Washington, D.C. 20231.

Ester Aronova

(Typed or printed name of person  
mailing paper(s) or fee)

*Ester Aronova*  
(Signature of person mailing  
paper(s) or fee)

Mailing Address:  
SOFER & HAROUN, LLP  
342 Madison Avenue, Suite 1921  
New York, New York 10173  
Tel:(212) 697-2800; fax (212) 697-3004